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2021 European Commission Al Package



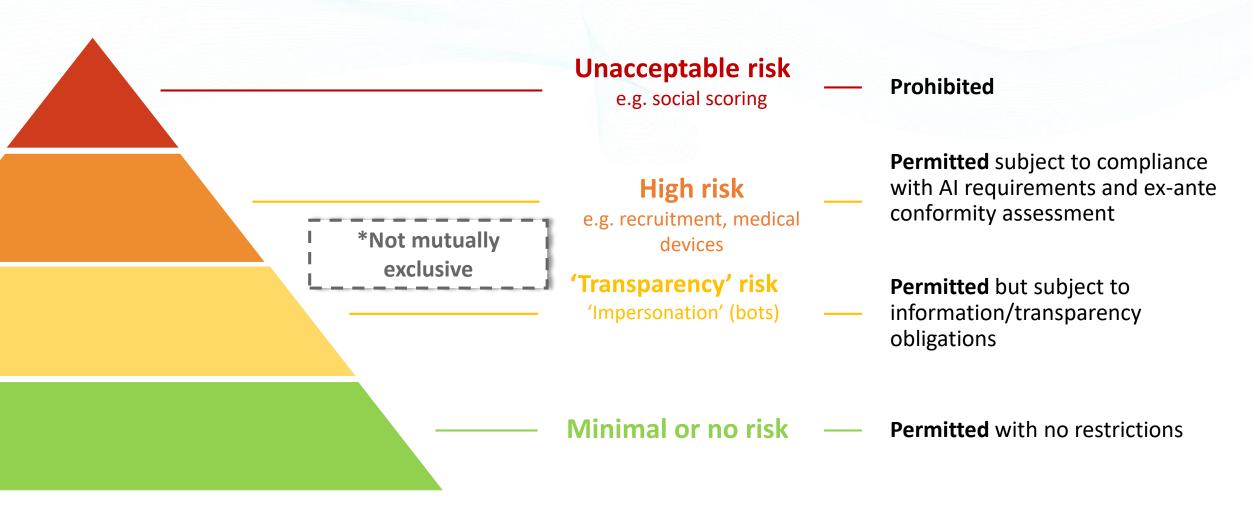
- Communication: "Fostering a European approach to Al"
- Coordinated Plan on AI 2021 review

Proposal for the Artificial Intelligence Act





Proposal for the Artificial Intelligence Act Risk-based approach: overview



Most Al systems will not be high-risk (Titles IV, IX)

New transparency obligations for certain AI systems (Art. 52)

- Notify humans that they are interacting with an AI system unless this is evident
- Notify humans that emotional recognition or biometric categorisation systems are applied to them
- Apply label to deep fakes (unless necessary for the exercise of a fundamental right or freedom or for reasons of public interests)

MINIMAL OR NO RISK

Possible voluntary codes of conduct for AI with specific transparency requirements (Art. 69)

- No mandatory obligations
- Commission and Board to encourage drawing up of codes of conduct intended to foster the voluntary application of requirements to low-risk AI systems





Proposal for the Artificial Intelligence Act Risk-based approach: high risk Al systems

High-risk AI Systems (Title III, Chapter 1 & Annexes II and III)

SAFETY COMPONENTS OF REGULATED PRODUCTS

(e.g. medical devices, machinery) which are subject to third-party assessment under the relevant sectorial legislation



- Biometric identification and categorisation of natural persons
- Management and operation of critical infrastructure
- ✓ Education and vocational training
- Employment and workers management, access to self-employment

- Access to and enjoyment of essential private services and public services and benefits
- ✓ Law enforcement
- Migration, asylum and border control management
- Administration of justice and democratic processes







Proposal for the Artificial Intelligence Act Requirements for high-risk AI systems

Use high-quality training, validation and testing data (relevant, representative etc.)

Establish and implement risk management processes

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In light of the intended purpose of the Al system

Establish documentation and design logging features (traceability & auditability)

Ensure appropriate certain degree of **transparency** and provide users with **information** (on how to use the system)

Ensure **human oversight** (measures built into the system and/or to be implemented by users)

Ensure robustness, accuracy and cybersecurity





Proposal for the Artificial Intelligence Act Al that contradicts EU values are prohibited

Subliminal manipulation resulting in physical/ psychological harm

Exploitation of children or mentally disabled persons resulting in physical/psychological harm

General purpose social scoring

Remote biometric identification for law enforcement purposes in publicly accessible spaces (with exceptions)

Example: An **inaudible sound** is played in truck drivers' cabins to push them to **drive longer than healthy and safe**. All is used to find the frequency maximising this effect on drivers.

Example: A doll with an integrated **voice assistant** encourages a minor to **engage in progressively dangerous behavior** or challenges in the guise of a fun or cool game.

Example: An AI system **identifies at-risk children** in need of social care **based on insignificant or irrelevant social 'misbehavior'** of parents, e.g. missing a doctor's appointment or divorce.

Example: All faces captured live by video cameras checked, in real time, against a database to identify a terrorist.





Proposal for the Artificial Intelligence Act Specific regulation on remote biometric identification

A PART A

<u>Use</u> of real-time RBI systems for law enforcement (Art. 5)



Prohibition of use for law enforcement purposes in publicly accessible spaces with exceptions:

- Search for victims of crime
- Threat to life or physical integrity or of terrorism
- Serious crime (EU Arrest Warrant)

Ex-ante authorisation by judicial authority or independent administrative body

<u>Putting on the market of RBI</u> systems (real-time and ex-post)



- Ex ante third party conformity assessment
- Enhanced logging requirements
 - "Four eyes" principle

No additional rules foreseen for use of real-time and post RBI systems: existing data protection rules apply